AO-10 (w)
Rev. 1/98

FINANCIAL DISCLOSURE REPORT
Calendar Year 1998

Report Required by the Ethics Reform Act of 1989, Pub L No. 101-194, November 30, 1989 (5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting *(Last name, first, middle initial)*
Ginsburg, Douglas H.

2. Court or Organization
D.C. CIRCUIT

3. Date of Report
05/10/1999

4. Title *(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)*
CIRCUIT JUDGE

5. Report Type (check type)
___ Nomination, Date ___ / ___
___ Initial X Annual ___ Final

6. Reporting Period
01/01/1998
to
12/31/1998

7. Chambers or Office Address
U.S. COURTHOUSE
333 CONSTITUTION AVE, N.W.
WASHINGTON, D.C. 20001

8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.

Reviewing Officer ______________ Date ________

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS
(Reporting individual only; see pp. 9-13 of Instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION / ENTITY
1	Member, Board of Directors	Fndn.for Rsch.on Econ. & Environment
2	Visiting Professor of Law	George Mason Univ. School of Law
3	Senior Lecturer	University of Chicago Law School

II. AGREEMENTS
(Reporting individual only; see pp.14-16 of Instructions.)

☐ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1	1997	George Mason Univ.; teach Spring 99, $20,500
2		
3		

III. NON-INVESTMENT INCOME
(Reporting individual and spouse; see pp. 17-24 of Instructions.)

☐ NONE (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1	1998	West Publishing Co., royalty	$ 396.00
2	1998	University of Chicago	$ 17,000.00
3	1998	George Mason University	$ 3,505.00
4			

NANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	05/10/1999

V. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

☐ NONE (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1	Boston Univ. Law School	Travel related exps, moot court in MA, Apr 7-8
2	University of Chicago	Travel related expenses, seminar in Chicago, Mar 30-May 18
3	Fndn. for Rsch on Econ. & Envi	Travel related exps, seminar in MT, July 8-17
4	George Mason Univ.	Books, misc acad, and travel exps July 97-Oct 98
5	Einstein Institute for Science, Health, and the Courts	Travel related expneses, seminar on Cape Cod, Aug. 7-12
6		
7		

VI. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

☒ NONE (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1			
2			
3			

VII. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1	Oppenheimer & Co. (J)	Margin account	J
2	Fidelity Investments (J)	Margin account	J
3			
4			
5			
6			

*VAL CODES: J=$15,000 or less K=$15,001-$50,000 L=$50,001 to $100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	05/10/1999

INANCIAL DISCLOSURE REPORT

/II. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.									
☐ NONE (No reportable income, assets, or transactions.)									
1 TIAA/CREF sup retirement acct		None	N	T					
2 ALLP (J) com		None	M	T	Buy and Sell	Var.	K		
3 SEP IRA acct. (owns additional ALLP com (S)		None	J	T					
4 NGEN (J) com		None	J	T					
5 Fuqua Enterprises FQE, formerly Vista ResourcesVS (J) c		None	J	T					
6 Spartan Muni. MMF (J)	A	Dividend	J	T					
7 Specialty Retail Group (J) com (formerly Inst.Lab.Med.)		None	J	T					
8 CATS P (DC)	A	Int	J	T					
9 Pru. Muni Series Fund: MD (DC)	A	Div	J	T					
10 Financing Corp Strips (DC)	A	Int	J	T					
11 U.S. Tsy. Strip Int. CP (DC)	A	Int	J	T					
12 Spartan High Income Fund (J)	D	Dividend			Sold	Var.	M	A	
13 Va Bch, Va 6.875% 03/01/2008 (J)	A	Interest		T	Sold	9/14	K		
14 Va Invs Quality Tax Exempt CUSIP No. 927836544 (J)	A	Interest			Sold	12/1	K	A	
15 Nuveen Tax Exempt UT TR VA Ser 297 (J)	B	Interest			Sold	6/10	L	A	
16 Voxel VOXL (J) com		None			Sold	6/02	J		
17 SciClone Pharmaceuticals SCLN (J) com		None			Sold	5/21	J		

Inc/Gain Codes: (Col. B1, D4) A=$1,000 or less B=$1,001-$2,500 C=$2,501-$5,000 D=$5,001-$15,000 E=$15,001-$50,000 F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=$5,000,001 or more

Val Codes: (Col. C1, D3) J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

Val Mth Codes: (Col. C2) Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	05/10/1999

VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure: (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. *Place "(X)" after each asset exempt from prior disclosure.*									
☐ NONE (No reportable income, assets, or transactions.)									
18									
19									
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33									
34									

Inc/Gain Codes: (Col. B1, D4) A=$1,000 or less B=$1,001-$2,500 C=$2,501-$5,000 D=$5,001-$15,000 E=$15,001-$50,000 F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=$5,000,001 or more

Val Codes: (Col. C1, D3) J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

Val Mth Codes: (Col. C2) Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book Value V=Other W=Estimated

X. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature Douglas Ginsburg Date May 10, 1999

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

AO-10
Rev. 1/98

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1998

Report Required by the Ethics Reform Act of 1989, Pub. L. No. 101-194, November 30, 1989 (5 U.S.C. App. 4, 101-112)

1. Person Reporting *(Last name, first, middle initial)* SENTELLE, DAVID B.	**2. Court or Organization** USCA, DC CIRCUIT	**3. Date of Report** 5/3/99
4. Title *(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)* US CIRCUIT JUDGE, ACTIVE	**5. ReportType (check appropriate type)** ___ Nomination, Date ______ ___ Initial X Annual ___ Final	**6. Reporting Period** 1/1/98-12/31/98
7. Chambers or Office Address 5818 E. Barrett Prettyman Cthse 333 Constitution Avenue, NW Washington, DC 20001	**8.** On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer ______ Date ______	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of Instructions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
	[ ] NONE (No reportable positions.)	
1	President	Edward Bennett Williams American Inn of Court
2		
3		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of Instructions.)*

RECEIVED

MAY 5 11 06 AM '99

FINANCIAL DISCLOSURE OFFICE

	DATE	PARTIES AND TERMS
	[X] NONE (No reportable agreements.)	
1		
2		
3		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
	[ ] NONE (No reportable non-investment income.)		
1	1998	United States Senate (S)	$
2			$
3			$
4			$
5			$

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	David B. Sentelle	5/3/99

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

[ ] NONE (No such reportable reimbursements.)

	SOURCE	DESCRIPTION	
1	Federalist Socy - U of AZ and ASU and bar chapters	1/22-27	travel, food, lodging
2	Federalist Socy - Univ of Houston, Baylor, and UT	2/8-2/12	travel, food, lodging
3	Campbell Univ	2/16	travel
4	UNC Alumni	3/11	travel, food
5	Federalist Socy - Suffolk Univ	4/2	travel, food, lodging
6	EBW American Inns Court Nat'l Conference	5/14-17	travel, food, lodging
7	New England School of Law Commencement	5/29	travel, food, lodging
	Foundation for Research on Econ and the Environment	8/24-30	travel, food, lodging
	Federalist Socy - Univ of MN, Washington U, St. Louis U	10-26-30	travel, food, lodging

V. GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

[X] NONE (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1			$
2			$
3			$
4			$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

[X] NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1			
2			
3			
4			
5			
6			

*Value Codes: J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
David B. Sentelle	5/3/99

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amt. Code1 (A-H)	B. (2) Type (e.g., div., rent or int.)	C. Gross value at end of reporting period (1) Value Code2 (J-P)	C. (2) Value Method Code3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure: (2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 checking & savings acct US Senate Credit Union	A	int	K	T					
2 rollover IRA - Merrill Lynch									
3 SEE BELOW: Dell Computer Corp.	none		K		sell	6/16	J	A	
4 EMC Corp.	none		K	T	buy	6/16	J		
5 Lexmark Int'l	none		J	T	buy	11/16	J		
6 Safeway	none		J	T					
7 Waters Corp.	none		J	T	buy	11/16	J		
8 Select 10 U.I.T. 98-5	A	int	J	T	buy	11/16	J		
9 Merrill Lynch Retirement Reserves Money Market	A	int	J	T					
10 Schering Plough Corp.					buy	6/16	J		
11 Schering Plough Corp.					sell	11/16	J	A	
12 SunAmerica Inc.					sell	6/16	J	A	
13 SunAmerica Inc.					sell	11/16	J	A	
14 Select 10 97-5					sell	11/16	J	A	
15									
16									
17									
18									

1 Income/Gain Codes: (See Col. B1, D4) A=$1,000 or less B=$1,001-$2,500 C=$2,501-$5,000 D=$5,001-$15,000 E=$15,001-$50,000 F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=More than $5,000,000

2 Value Codes: (See Col. C1, D3) J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=More than $50,000,000

3 Value Method Codes: (See Col. C2) Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	David B. Sentelle	5/3/99

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature ______________________ Date May 3, 1999

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS

Mail signed original and 5 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
David B. Sentelle	5/3/99

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

[ ] NONE (No such reportable reimbursements.)

	SOURCE	DESCRIPTION	
1	Federalist Socy - U of AZ and ASU and bar chapters	1/22-27	travel, food, lodging
2	Federalist Socy - Univ of Houston, Baylor, and UT	2/8-2/12	travel, food, lodging
3	Campbell Univ	2/16	travel
4	UNC Alumni	3/11	travel, food
5	Federalist Socy - Suffolk Univ	4/2	travel, food, lodging
6	EBW American Inns Court Nat'l Conference	5/14-17	travel, food, lodging
7	New England School of Law Commencement	5/29	travel, food, lodging
	Foundation for Research on Econ and the Environment	8/24-30	travel, food, lodging
	Federalist Socy - Univ of MN, Washington U; St. Louis U	10-26-30	travel, food, lodging

V. GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

[X] NONE (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1			$
2			$
3			$
4			$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

[X] NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1			
2			
3			
4			
5			
6			

*Value Codes: J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

AO-10 (w) Rev. 1/98

FINANCIAL DISCLOSURE REPORT
Calendar Year 1998

Report Required by the Ethics Reform Act of 1989, Pub L No. 101-194, November 30, 1989 (5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting *(Last name, first, middle initial)*	2. Court or Organization	3. Date of Report
Williams, Stephen F.	U.S.Court of Appeals, DC Circu	05/25/1999

4. Title *(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)*	5. Report Type (check type)	6. Reporting Period
Article III Judge	___ Nomination, Date / / ___ Initial X Annual ___ Final	01/01/1998 to 12/31/1998

7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.
U.S. Courthouse, Room 3800 Washington, D.C. 20001	Reviewing Officer ______________ Date ______

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS *(Reporting individual only; see pp. 9-13 of Instructions.)*

	POSITION	NAME OF ORGANIZATION / ENTITY
X	NONE (No reportable positions.)	
1		
2		
3		

II. AGREEMENTS *(Reporting individual only; see pp.14-16 of Instructions.)*

	DATE	PARTIES AND TERMS
X	NONE (No reportable agreements.)	
1		
2		
3		

III. NON-INVESTMENT INCOME *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐	NONE (No reportable non-investment income.)		
1		Foundation Press - Royalty	$ 877.00
2		D.C. Public Schools (S)	
3			
4			

[I]NANCIAL DISCLOSURE REPORT	Name of Person Reporting Williams, Stephen F.	Date of Report 05/25/1999

[I]V. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

[I]ncludes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse [a]nd dependent children, respectively. See pp. 25-28 of Instructions.)

[ ] NONE (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1	ABA Ad Law Section	Transportation, food and lodging to attend meeting as judicial liaison, January 30-February 1.
2	ABA Ad Law Section	Transportation, food and lodging to attend meeting as judicial liaison, April 24-26
3	Foundation for research on Economics and Environment	Transportation, food and lodging to attend and teaches two classes at seminar on economics of environmental law, July 14-19
4	American Law Institute	Transportation, food and lodging to attend meeting of Advisers on restatement of tort law, general principles, June 14-16
5	Mentor Group	Transportation, food and lodging to attend and address meeting in Helsinki and to participate in conference in Moscow, September 14-26
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

[X] NONE (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1			
2			
3			

VI. LIABILITIES

[(]Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate [l]iability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

[X] NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1			
2			
3			
4			
5			
6			

* VAL CODES: J=$15,000 or less K=$15,001-$50,000 L=$50,001 to $100,000 M=$100,001-$250,000 N=$250,001-$500,000 0=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Williams, Stephen F.	05/25/1999

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.									
☐ NONE (No reportable income, assets, or transactions.)									
1 Chase Manhattan Bank, as trustee	A	Dividend	K	T					
2	A	Interest							
3 Dreyfus Liquid Assets	A	Dividend			Redemption	10/8	J		
4 Dreyfus Peoples Index Fund	B	Dividend	M	T					
5 Dreyfus Peoples Ind. Fund (S)	C	Dividend	M	T					
6 Dreyfus Peoples Ind. Fund (DC)--N.4									
7 Fidelity OTC (S)(IRA)	C	Dividend	L	T					
8 Fidelity OTC (IRA)	D	Dividend	M	T					
9 Vanguard Index 500	C	Dividend	M	T					
10 Vanguard Ext. Market	D	Dividend	L	T	Buy	03/10	K		
11 Vanguard Prime	A	Dividend	J	T					
12 Vanguard Index 500(S)	D	Dividend	O	T					
13 Vanguard Ext. Market (S)	E	Interest	M	T					
14 Vanguard Index 500 (DC)	A	Dividend	K	T					
15 [redacted] Boulder, CO (J)	D	Rent	N	W					
16 Riggs National Bank (J)	A	Interest	K	T					
17 TIAA CREF Ret. Annuities	C	Interest	O	T					

1 Inc/Gain Codes: (Col. B1, D4) A=$1,000 or less B=$1,001-$2,500 C=$2,501-$5,000 D=$5,001-$15,000 E=$15,001-$50,000 F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=$5,000,001 or more

2 Val Codes: (Col. C1, D3) J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

3 Val Mth Codes: (Col. C2) Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Williams, Stephen F.	05/25/1999

VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets *Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for seperate ownership by spouse, "(DC)" for ownership by dependent child.* *Place "(X)" after each asset exempt from prior disclosure.*	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income,assets, or transactions.)									
18 Short-Term Inc. Fund (S)	A	Dividend	J	T					
19 Vanguard Index 500 (DC)-N.1	D	Dividend	N	T	Purchase	8/10	K		
20 Vanguard Ext. Market (DC)-N.1	D	Dividend	M	T					
21 Drey. People Ind. Fund (DC)-N.1	C	Dividend	N	T					
22 Prime Fund-Money Market-N.1	B	Dividend	J	T					
23 Gov. Sel. Money Market-N.1	B	Dividend	J	T					
24 Hong Kong Telecom-N.2					Inherit	08/12			
25					Sale	09/2	J	B	
26 IMC Global-N.2					Inherit	08/12			
27					Sale	09/2	J		
28 Templeton Glo. Govt Inc-N.2	B	Dividend			Inherit	08/12			
29					Sale	09/2	J		
30 Amoco-N.2	A	Dividend			Inherit	08/12			
31					Sale	09/29	K	E	
32 Conn St. bonds-N.2					Inherit	08/12			
33					Sale	09/11	K	A	
34 Edison Int'l-N.2					Inherit	08/12			

1 Inc/Gain Codes: (Col. B1, D4)	A=$1,000 or less F=$50,001-$100,000	B=$1,001-$2,500 G=$100,001-$1,000,000	C=$2,501-$5,000 H1=$1,000,001-$5,000,000	D=$5,001-$15,000 H2=$5,000,001 or more	E=$15,001-$50,000
2 Val Codes: (Col. C1, D3)	J=$15,000 or less O=$500,001-$1,000,000	K=$15,001-$50,000 P1=$1,000,001-$5,000,000	L=$50,001-$100,000 P2=$5,000,001-$25,000,000	M=$100,001-$250,000 P3=$25,000,001-$50,000,000	N=$250,001-$500,000 P4=$50,000,001 or more
3 Val Mth Codes: (Col. C2)	Q=Appraisal U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting Williams, Stephen F.	Date of Report 05/25/1999

VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets *Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for seperate ownership by spouse, "(DC)" for ownership by dependent child.* *Place "(X)" after each asset exempt from prior disclosure.*	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure: (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
35					Sale	0[illegible]/29	K	D	
36 AMP Inc.-N.2, N.6	A	Dividend	J	T	Inherit	08/12			
37					Partial Sale	10/13	J	A	
38 Freeport McMoran-N.2	A	Dividend			Inherit	08/12			
39					Sale	12/01	J		
40 Nestle-N.2	A	Dividend	K	T	Inherit	08/12			
41 Proctor & G-N.2	A	Dividend	L	T	Inherit	08/12			
42 Questar-N.2	A	Dividend	J	T	Inherit	08/12			
43 Summit Banc.N.2	A	Dividend	K	T	Inherit	08/12			
44 Texaco-N.2, N.6	A	Dividend	J	T	Inherit	08/12			
45 Schering Plough-N.2	A	Dividend	K	T	Inherit	08/12			
46 Teco Energy--N.2	A	Dividend			Inherit	08/12			
47					Char. gift	12/14	J		
48					Sale	12/14	K		
49 Dreyfus Int'l MM Fund, N.5	A	Dividend	L	T	Bought	09/22	K		
50 Fidelity "Core" cash			K	T	Initial Purc	12/1	J		
51 Treasury note-N.7	A	Interest	K	T	Inherit	08/12			

1 Inc/Gain Codes: (Col. B1, D4) A=$1,000 or less B=$1,001-$2,500 C=$2,501-$5,000 D=$5,001-$15,000 E=$15,001-$50,000 F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=$5,000,001 or more

2 Val Codes: (Col. C1, D3) J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

3 Val Mth Codes: (Col. C2) Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book Value V=Other W=Estimated

NANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Williams, Stephen F.	05/25/1999

II. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
ndicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.									
NONE (No reportable income, assets, or transactions.)									
52 Bank of New York Cash Reserve-N.7	A	Interest	J	T	Inherit	08/12			
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Inc/Gain Codes: (Col. B1, D4)	A=$1,000 or less	B=$1,001-$2,500	C=$2,501-$5,000	D=$5,001-$15,000	E=$15,001-$50,000
	F=$50,001-$100,000	G=$100,001-$1,000,000	H1=$1,000,001-$5,000,000	H2=$5,000,001 or more	
Val Codes: (Col. C1, D3)	J=$15,000 or less	K=$15,001-$50,000	L=$50,001-$100,000	M=$100,001-$250,000	N=$250,001-$500,000
	O=$500,001-$1,000,000	P1=$1,000,001-$5,000,000	P2=$5,000,001-$25,000,000	P3=$25,000,001-$50,000,000	P4=$50,000,001 or more
Val Mth Codes: (Col. C2)	Q=Appraisal	R=Cost (real estate only)	S=Assessment	T=Cash/Market	
	U=Book Value	V=Other	W=Estimated		

	Name of Person Reporting	Date of Report
[NANCIAL DISCLOSURE REPORT	Williams, Stephen F.	05/25/1999

III. ADDITIONAL INFORMATION OR EXPLANATIONS.

ıdicate part of report.)

1. These are assets held in a trust for DC. At this point only one child is a dependent.

2. All entries with this note were stocks held by a trust at the Bank of New York of which my father was he beneficiary. The trust provided that on his death the assets would pass to his three children. I have sed the trust's tax basis in each asset in calculation of gain or loss on disposition. Even where an asset as sold before distribution to the three remaindermen, I have made the calculations based on my one third. ertain of the stocks were transferred to me directly (i.e., out of the trust) pursuant to the trust nstrument; such stocks bear the legend N.2 but do not bear either N. 6 or N.7

3. Pursuant to Judge Zloch's letter of April 28, 1999 I am attaching portions of the monthly reports of he Merrill Lynch account of my father, who died August 12, 1998, and of the account later established for his estate. Specifically, and bearing in mind the request to eliminate unnecessary pages, I attach:

(1) The entire statement for his account for the month ending 8/31 (except p. 18, which is blank). 'his includes holdings as of 8/31, and as it also includes all activities in the account for August it covers ıny income, etc., for the period from his death to that date.

(2) From the statement for his account for the month ending 9/30, I have included pp. 16-24. This ncludes particularly stock liquidations for which the settlement date was September 2 or 3, and the transfers of assets to the newly opened estate account.

(3) The statement for the month ending 9/25 for the estate: Except for the purchase of a CMA money ıarket fund with the cash transferred from the account for my father, this includes no additional information ınd is therefore not included.

(4) From the statement for the estate for the month ending 10/30 I have included pp. 13-15 covering ıll daily activity.

(5) From the statement for the estate for the month ending 11/27 I have included pp. 11-12, covering ıll daily activity.

(6) From the statement for the estate for the month ending 12/31 I have included pp. 1-8, giving all ıccount positions at the close of the period, plus p. 11, giving all daily activity.

4. The entry for Dreyfus Peoples Ind. Fund (DC) is present but left blank. The dependent child for which he account is held ceased to be a dependent.

5. This is an asset acquired by the trust for me created as a result of the provisions described in N.2, ınd is funded with the proceeds of the sales of certain of the stocks held in the original trust.

6. These stocks were transferred to the trust created for me out of the trust referred to in N.2 and are still held in that trust.

7. These are assets remaining in the trust for my father referred to in N.2. On termination of that trust whatever is left will be distributed to the three remaindermen.

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting Williams, Stephen F.	Date of Report 05/25/1999

X. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature Stephen F. Williams Date 5/25/99

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544