

AO-10 (w)  
Rev. 1/98

# FINANCIAL DISCLOSURE REPORT

## Calendar Year 1998

*Report Required by the Ethics Reform Act of 1989, Pub L No. 101-194, November 30, 1989 (5 U.S.C. App. 4, Sec. 101-112)*

<b>1. Person Reporting</b> <i>(Last name, first, middle initial)</i>  Sinsburg, Douglas H.	<b>2. Court or Organization</b>  D.C. CIRCUIT	<b>3. Date of Report</b>  05/10/1999
<b>4. Title</b> <i>(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</i>  CIRCUIT JUDGE	<b>5. Report Type (check type)</b>  Nomination, Date _____ / _____  Initial _____ x _____ Annual _____ Final _____	<b>6. Reporting Period</b>  01/01/1998 to 12/31/1998
<b>7. Chambers or Office Address</b>  U.S. COURTHOUSE  333 CONSTITUTION AVE, N.W.  WASHINGTON, D.C. 20001	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

*IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.*

**POSITIONS** *(Reporting individual only; see pp. 9-13 of Instructions.)*

POSITION	NAME OF ORGANIZATION / ENTITY
<input type="checkbox"/> NONE (No reportable positions.)	
1 Member, Board of Directors	Fdn. for Rsch. on Econ. & Environment
2 Visiting Professor of Law	George Mason Univ. School of Law
3 Senior Lecturer	University of Chicago Law School

RECEIVED  
 MAY 14 10 03 AM '99  
 FEDERAL BUREAU OF INVESTIGATION

**AGREEMENTS** *(Reporting individual only; see pp. 14-16 of Instructions.)*

DATE	PARTIES AND TERMS
<input type="checkbox"/> NONE (No reportable agreements.)	
1 1997	George Mason Univ.; teach Spring 99, \$20,500
2	
3	

**I. NON-INVESTMENT INCOME** *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
<input type="checkbox"/> NONE (No reportable non-investment income.)		
1 1998	West Publishing Co., royalty	\$ 396.00
2 1998	University of Chicago	\$ 17,000.00
3 1998	George Mason University	\$ 3,505.00
4		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

05/10/1999

**7. REIMBURSEMENTS -- transportation, lodging, food, entertainment.**

*Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.*

	SOURCE	DESCRIPTION
<input type="checkbox"/>	NONE (No such reportable reimbursements.)	
1	Boston Univ. Law School	Travel related exps, moot court in MA, Apr 7-8
2	University of Chicago	Travel related expenses, seminar in Chicago, Mar 30-May 18
3	Fndn. for Rsch on Econ. & Envi	Travel related exps, seminar in MT, July 8-17
4	George Mason Univ.	Books, misc acad, and travel exps July 97-Oct 98
5	Einstein Institute for Science, Health, and the Courts	Travel related expenses, seminar on Cape Cod, Aug. 7-12
6		

**8. GIFTS**

*Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.*

	SOURCE	DESCRIPTION	VALUE
<input checked="" type="checkbox"/>	NONE (No such reportable gifts.)		
1			
2			
3			

**9. LIABILITIES**

*Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.*

	CREDITOR	DESCRIPTION	VALUE CODE*
<input type="checkbox"/>	NONE (No reportable liabilities.)		
1	Oppenheimer & Co. (J)	Margin account	J
2	Fidelity Investments (J)	Margin account	J
3			
4			
5			
6			

VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000  
 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting Ginsburg, Douglas H.	Date of Report 05/10/1999
--	------------------------------

*(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

**Part I Page 1 INVESTMENTS and TRUSTS -- income, value, transactions**

A. Description of Assets  <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i>  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
1 TIAA/CREF sup retirement acct		None	N	T					
2 ALLP (J) com		None	M	T	Buy and Sell	Var.	K		
3 SEP IRA acct. (owns additional ALLP com (S))		None	J	T					
4 NGEN (J) com		None	J	T					
5 Fuqua Enterprises FQE, formerly Vista Resources (J) c		None	J	T					
6 Spartan Muni. MMF (J)	A	Dividend	J	T					
7 Specialty Retail Group (J) com (formerly Inst. Lab. Med.)		None	J	T					
8 CATS P (DC)	A	Int	J	T					
9 Pru. Muni Series Fund: MD (DC)	A	Div	J	T					
10 Financing Corp Strips (DC)	A	Int	J	T					
11 U.S. Tsy. Strip Int. CP (DC)	A	Int	J	T					
12 Spartan High Income Fund (J)	D	Dividend			Sold	Var.	M	A	
13 Va Bch, Va 6.875% 03/01/2008 (J)	A	Interest		T	Sold	9/14	K		
14 Va Invs Quality Tax Exempt CUSIP No. 927836544 (J)	A	Interest			Sold	12/1	K	A	
15 Nuveen Tax Exempt UT TR VA Ser 297 (J)	B	Interest			Sold	6/10	L	A	
16 Voxel VOXL (J) com		None			Sold	6/02	J		
17 SciClone Pharmaceuticals SCLN (J) com		None			Sold	5/21	J		

Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
Val Codes: J=\$15,000 or less (Col. C1, D3)	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
Val Mth Codes: Q=Appraisal (Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting Ginsburg, Douglas H.	Date of Report 05/10/1999
--	------------------------------

**II. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions** *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets  <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i>  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
18									
19									
20									
21									
22									
23									
24									
25									
26									
27									
28									
29									
30									
31									
32									
33									
34									

Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

**FINANCIAL DISCLOSURE REPORT**

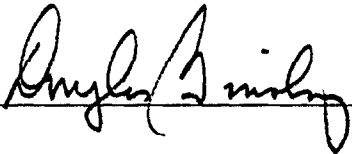
Name of Person Reporting Ginsburg, Douglas H.	Date of Report 05/10/1999
--	------------------------------

**X. CERTIFICATION**

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature 

Date May 10, 1999

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

**FILING INSTRUCTIONS**

Mail original and three additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
One Columbus Circle, N.E.  
Suite 2-301  
Washington, D.C. 20544